



Professional Services - Task Order Amendment

The Contractor hereby agrees to perform the work authorized herein in accordance with all the terms, conditions, and at the labor rates agreed to in the Contract referenced below. The Contractor shall furnish the necessary professional and technical personnel and materials required to complete this work.

Contract No. S16083 Task Order No. 27 Amendment No. 1

Contractor: RSM US LLP SAP Requisition No. _____

(Attach Purchase Requisition Form)

Task Order Title: BART Phase I Invoicing Audit Project No. P-0509

Check appropriate boxes to indicate change(s)

Term: Effective 11/13/18 and continue in effect through date 12/31/2019
Revised Date

Compensation: Indicate if the 15% contingency is required. Attach details.

Current Task Order value: \$ 69,345.00
Task Order Amendment Value: \$ 5,000.00
The revised total compensation for this Task Order is: \$ 74,345.00

(To be completed by Contracts Administrator)

Contract value available to authorize this Task Order Amendment: \$ 533,875

Funding Information: (Check only if changes in funding sources occur.)

_____ Federal Grant _____ FTA _____ FHWA _____ State Funds
_____ CalTrans Local Assistance Program _____ Measure A _____ Measure B
 Other: Local Funds (VTA Cost Center 11500)

Scope of Services: (check one)

_____ See details below of additions/revisions to Scope
 See attached Scope of Services for additions/revisions

Key Personnel: List additional Personnel authorized to provide services under this Task Order. (check one)

_____ See details below of Personnel (Name/Classification)
 See attached list of Personnel (Name/Classification)

Rates as per Master Contract



Professional Services - Task Order Amendment

Subcontractors: List changes regarding subcontractors authorized to provide services under this Task Order.

See details below of subcontractors (Indicate SBE/DBE status)

See attached list of subcontractors (Indicate SBE/DBE status)

Patrick Hagan - Not to exceed 15 Hours

Approvals: Project Manager is required to obtain OBDP's signature prior to submitting to Contracts department.

OBDP: SBE/DBE Goal None %

John Pohl 10/25/2019
Project Manager Date

Office of Deputy GM/CFD (408) 321-7548
OBPD Manager Date
Division Phone No.

[Signature] 10/25/2019
Division Chief/Director or Deputy Director Date

Capital Projects Group Date

Carolyn Vargas 11/5/19
Reviewed by Contracts Administrator Date

Santa Clara Valley Transportation Authority

DocuSigned by: *Mary Talentinow* 11/6/2019
By: _____ Date

44FD323C974D4CD...
Name (print): Mary Talentinow

Title: Contracts Manager

Contractor

DocuSigned by: *Corey Saunders* 11/6/2019
By: _____ Date

884CC17960E44D5...
Name (print): Corey Saunders

Title: Partner

VTA Auditor General
 Budget and Scope for: IO#27 - BART Silicon Valley Project - Phase I BART Invoicing Audit

Task	Estimated Hours for						Total
	Partner	Director	Manager	Sub-contractor	Super-visor	Senior Associate	
Hold entrance meetings (VTA and BART), and periodic status meetings or updates	4	4	5				14
Read the BART Comprehensive Agreement (dated 11-19-01) and all "Implementation Letters". Review the prior AG report on Comprehensive Agreement risks. Subcontractor hours in support of work program development assistance.		3	4	6			13
Develop specific audit plan, by area and test scripts, based on above, G&A approved areas, and risk input from Management. Subcontractor hours in support of work program development assistance.		2	4	6			12
Interview VTA staff, including ETID, Finance and others. Interview BART staff, including invoice preparation, finance, technology and others (on site at BART).	2	5	28			36	72
Document BART process flows, review control narratives and procedures. Identify risks or observations.			20			45	55
Test sample of BART invoices over 16 years of Agreement (over \$245 million billed). Focus on recent years and risk areas. On site at BART. Assess specific areas, which may include: allowability of costs; calculation of indirect costs; timeliness of billings; BART compliance with Agreement; adequacy of BART processes, controls and reporting; and level of communications. Subcontractor hours in support of performing an initial review of BART-provided documentation to ensure that they are providing the most appropriate documentation they should have at their disposal. Additionally, Subcontractor to provide first-round review of testing results to validate against expectations.			16	3		82	161
Prepare AG report drafts, hold exit conferences with VTA and BART (as required), discuss management responses. Prepare Board Memos, submit for G&A Packet. Perform QA of workpapers and report.	4	4	14				22
Total Estimated Hours Contract Rate for Classification Level, per Exhibit D Time and Materials Estimate G&A Approved Amount							10 \$350 \$3,500 \$75,000
19 \$325 \$6,175							0 \$190 \$0
92 \$230 \$21,160							60 \$170 \$7,800
15 \$200 \$3,000							163 \$130 \$27,710
359 \$130 \$69,345 \$75,000							359 \$130 \$69,345 \$75,000

Notes and Assumptions:

- This BSV Phase I Invoicing Audit was added to the AG work plan by the G&A Committee on March 1, 2018 (Item 11). There may be a supplemental phase of work required, depending upon BART's level of cooperation, the adequacy of records and initial findings. The Board Memo instructs the Auditor General to provide an interim report after the conclusion of the initial phase of work. The G&A Committee would then adjust the additional phase and scope of work as desired.
- VTA produces requested documents on a timely basis and is available for meetings, as scheduled.
- Project is not delayed or deferred (would change AG staffing).
- No unusual number of exceptions or fraud is uncovered.