



Professional Services - Contract Task Order

The Contractor hereby agrees to perform the work detailed below in accordance with all the terms and conditions of the Contract referenced below. The Contractor shall furnish the necessary facilities, professional, technical and supporting personnel required by this Task Order as detailed below.

Contract No. S16083 Task Order No. 9
Contractor: RSM US LLP SAP Requisition No. 2000178142
(Attach Purchase Requisition Form)
Description: Follow-up Services on Management Action Plans-- FY 2017

Term: Effective 07/01/16 and continue in effect through 06/30/17
Date (Contract term cannot exceed 7 years without Board Approval)

Compensation: (check one) T&M CFFF FFP

Task Order Value: FFP \$ 13,000.00
Travel (NTE) _____
TOTAL: \$ 13,000.00

(To be completed by Contracts Administrator)
Contract Value available to authorize this Task Order: \$1,931,000

Funding Information: (Check funding sources)
 Federal Funds: FTA/Transit or FHWA/Highways State Funds
 CalTrans Local Assistance Program Measure A MBTIP Funds
 Other: VTA Transit Enterprise local sales tax revenues

COMPENSATION: For the complete and satisfactory performance of services under this Task Order, Contractor shall be paid in accordance with the compensation provisions in the Master Contract referenced above.

Scope of Services: (check one)
 See details below of Scope
 See attached Scope of Services

Services include receipt, categorization and initial evaluation of Ethics Helpline reports received as well as performing detailed investigation, if warranted, of reports determined to have basis. Also includes providing periodic summary-level status reports to the Governance & Audit Committee and Board of Directors.

Key Personnel: List additional Personnel authorized to provide services under this Task Order. (check one)
 See details below of Personnel (Name/Classification)
 See attached List of Personnel (Name/Classification)

- Bill Eggert, Director and VTA Auditor General
- Corey Saunders, Risk Advisory Services Partner
- Lily Rogers, Risk Advisory Services Supervisor
- Pat Hagan, Consultant Subject Matter Expert
- Other VTA management-approved subject matter experts as required


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Valley Transportation Authority
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Subcontractors: List additional Subcontractors authorized to provide services under this Task Order. (check one)

- See details below of Subcontractors (Indicate SBE/DBE status)
 See attached List of Subcontractors (Indicate SBE/DBE status)

None.

Approvals: Group/Project Manager is required to obtain OS&DB's signature prior to submitting to Contracts.

OS&DB: SBE/DBE Goal _____ NSG _____ %
 (Specifically established for this Task Order)

Mitima Baumstein 1-4-17
 OS&DB Manager Date
Stephen Eleman DEC 28, 2016
 Group/Project Manager Date

Task Manager _____ Date _____

Project Director or Division Chief _____ Date _____
 (Required for all SVRT Agreements)

Capital Projects Group _____ Date _____

Carolyn Largent 1/12/17
 Reviewed by Contracts Administrator Date

Santa Clara Valley Transportation Authority

By: Trish Kane 1/12/17
 _____ Date

Name (print): Trish Kane
 Title: Contracts Manager

Contractor

By: Bill Eggert 1/13/17
 _____ Date

Name (print): BILL Eggert
 Title: DIRECTOR