



Doing Business with VTA

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VTA's Office of Business Diversity Programs

1. Certifications

- Disadvantage Business Enterprise (DBE)
- Small Business Enterprise (SBE)
- Minority Women Owned (MWBE)
- Lesbian, Gay, Bisexual & Transgender (LGBT); and
- Disabled Veteran Business Enterprise (DVBE)

2. Vendor Solicitations

3. Conflict of Interest



What types of Business Certifications does VTA accept?

Federally Funded Contracts – Disadvantaged Business Enterprise

Certification

1. VTA
2. Any other certifying agency, as part of the California Unified Certification Program (CUCP)

State/Locally Funded Contracts – Small Business Enterprise Certification

Certification

1. VTA
2. Department of General Services (DGS)
3. DBE Certification may be accepted

State/Locally Funded Contracts – Women/Minority Owned Business Enterprise Certification

Certification

1. VTA

Lesbian, Gay, Bisexual & Transgender (LGBT) Business Enterprise Certification

Certification

1. National Gay & Lesbian Chamber of Commerce (NGLCC)
2. California Public Utilities Supplier Clearinghouse (CPUC)

State/Locally Funded Contracts – Disabled Veteran Business Enterprise Certification

Certification

1. Department of General Services (DGS)

Small Business Certification Requirements

What Certification(s) should I pursue?

SBE Certification

- US Citizen (or lawfully admitted permanent residents)
- Must meet Small Business size standard prescribed by 121 CFR 13
- Size standards have been established for types of industry under the North American Industry Classification System (NAICS). Can be obtained via the Internet at www.sba.gov
- Ownership/Control
- Independent
- Race Neutral

DBE Certification

- US Citizen (or lawfully admitted permanent residents)
- Small Business size standard prescribed by 121 CFR 13
- Member of minority groups: who are women, Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, Subcontinent Asian Americans, or other minorities found to be disadvantaged by the SBA
- Ownership/Control
- Independent

Important Notice: Businesses registered in the System for Award Management (SAM.gov) must update their SAM registration in order to have their small business status updated based on the new size standards that became effective on August 19, 2019. Until the SAM registration is updated, the SAM profiles will continue to display the small business status under the old size standards.

Small Business Certifications

Why get certified?

1. VTA has Contracting Goals to meet
Ability to participate in BART Silicon Valley Phase II Contract
2. Included in VTA Net 15 Payment Policy
3. Set-aside program for small businesses on \$150k or smaller purchases
4. Included in VTA DBE/SBE subcontractor contracting Opportunities

Small Business Certifications

How/where do I go to get certified?

VTA's certifications are online applications. You can find the links to our certification modules at:

<https://www.vta.org/business-center/business-diversity-programs>



Register for Solicitations

Procurement Portal

vta.org/business-center



VTA COVID-19 Information Center

vta.org/covid-19

Please continue to wear masks and remain 6 feet from operators.

GO PROJECTS ABOUT

I want to...

Vendor
Login / Register



Doing Business with VTA

Doing Business with VTA

View VTA Solicitations

See all open and upcoming
contracting opportunities in our
e-procurement portal.

[VISIT PORTAL](#)

Get Registered

Set up your account to receive
notifications about contracting
opportunities.

[REGISTER](#)

Get Certified

VTA's Business Diversity
Program certifies and assists
small, disadvantaged and other
business enterprises seeking
contracts.

[LEARN MORE](#)

Procurement - Solicitations

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Transportation Authority
San Jose, CA
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help.procurennow.com/vendor-guides

Procurement Portal

Projects [Calendar](#)

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Project Title	Project ID	Addenda	Release Date	Due Date
SR 237/Lawrence Expressway/Java Drive Interchanges Improvement Engineering Services OPEN	S21381	1	1/31/2022	3/9/2022
6 AND 8 MIL NITRILE POWDER-FREE GLOVES (OR EQUAL) OPEN	P21354	2	1/21/2022	3/18/2022
Guadalupe Light Rail Vehicle Wash Improvements OPEN	S21377	1	2/3/2022	3/18/2022
LIGHT RAIL HVAC OVERHAUL PROJECT OPEN	P20188	8	2/9/2021	3/31/2022



Procurement - Solicitations

WHY DO I NEED TO SUBSCRIBE?

1. Receive notices about upcoming opportunities
2. If subscribed notices are automatic
3. Bid/proposal submissions must be done electronically through the OpenGov portal ***before*** due date/time

[View Solicitations](#)

See all open and upcoming contracting opportunities in our new e-procurement portal, except the following:

- [C20011](#)
- [C19123](#)
- [M20027](#)

VTA will archive all solicitations in the legacy portal for transparency.

[View legacy solicitations page.](#)

[VISIT NEW PORTAL](#)



Conflict of Interest

Conflict of Interest Defined

A conflict of interest occurs when an entity or individual becomes unreliable because of a clash between personal (or self-serving) interests and professional duties or responsibilities. Such a conflict occurs when a company or person has a vested interest—such as money, status, knowledge, relationships, or reputation—which puts into question whether their actions, judgment, and/or decision-making can be unbiased. When such a situation arises, the party is usually asked to remove themselves, and it is often legally required of them.

Procurement Integrity

- The general rule is to strictly avoid any conflict of interest or even the appearance of a conflict of interest in any VTA relationships
- Treat all Prospective Sources in an Equal and Equitable Manner
- Bidders cannot have an unfair advantage in obtaining a contract.

Avoid Conflicts of Interest

VTA Policy states that all VTA employees must conduct themselves in accordance with the highest ethical and professional standards

Organizational conflicts of interests can include:

- Lack of Impartiality or Impaired Objectivity
- Unequal Access to Information
- Biased Ground Rules

Conflicts of Interest and VTA Employees

1. Employee outside activities
 - a) Incompatible
 - b) Inconsistent
 - c) In conflict
2. Employees in decision making positions
 - a) Disclose financial interests

Conflicts of Interest and Contractors

NOTICE TO PROPOSERS OF LIMITATION OF FUTURE CONTRACTING

The Proposer(s) selected under this Request for Proposals (“RFP”) will be precluded from submitting proposals or bids as a prime contractor or subcontractor for any future procurement with VTA if the specifications, requirements, scope of services and/or for such work were developed or influenced by the work performed under the contract resulting from this RFP. Further, if a contractor or subcontractor obtains or has access to nonpublic information related to a future solicitation through work performed under this RFP, that contractor or subcontractor may be barred from submitting proposals as a prime contractor or subcontractor on that future solicitation.

Conflicts of Interest and Contractors

NOTICE TO PROPOSERS OF REQUIREMENT TO AVOID CONFLICTS OF INTERESTS

Contractors and subcontractors performing work resulting from this RFP are required to avoid conflicts of interest resulting from services provided to VTA through other engagements. In particular, contractors and subcontractors providing services under any engagements that developed or influenced the requirements, scope of services, or criteria for this RFP are ineligible to participate in the work resulting from this RFP. Contractors and subcontractors providing services under such engagements that involve the supervision, oversight, review, critique, or acceptance of work products under this RFP are also ineligible to participate in the work resulting from this RFP.

Conflicts of Interest and Contractors

- Similarly, contractors and subcontractors who have or who have had access to nonpublic information related to this RFP may have a conflict of interest and should refrain from participating in the work resulting from this RFP.
- Contractors and subcontractors proposing to provide services under this RFP remain responsible for avoiding conflicts of interest and must review their existing VTA engagements with their prospective teaming partners before submitting a Proposal under this RFP to assure that conflicts of interest are avoided. Contractors and subcontractors performing work resulting from this RFP must continue to monitor for and avoid conflicts of interest at all times.
- In addition to contractors' and subcontractors' obligations to avoid conflicts of interest, VTA also monitors for potential conflicts. VTA reviews all potential conflicts, whether actual or apparent, on a case-by-case basis. VTA reserves the right to determine whether an actual conflict exists in its sole discretion and to determine whether a potential conflict of interest exists in its reasonable discretion. Nothing in this RFP is intended to operate as a waiver of either actual or apparent conflicts.

Conflicts of Interest and Contractors

Examples

1. Construction contract with detailed specifications
2. Design firm that also performs construction drafted the specifications and intends to bid

Questions?

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<https://www.vta.org/business-center/business-diversity-programs>